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PATENT, TRADEMARK, COPYRIGHT  
AND UNFAIR COMPETITION LAW  
AND RELATED LITIGATION

EDMUND P. WOOD 1923-1968  
TRUMAN A. HERRON 1935-1976  
EDWARD B. EVANS 1938-1971

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June 3, 2004

## FACSIMILE COVER SHEET

To: Examiner Thu Ha T. Nguyen  
Mail Stop AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22213-1450

Fax: 703-872-9306

Enclosures:

Fax Cover Sheet containing Certificate of  
Facsimile Transmission (1 page)  
Transmittal containing Certificate of  
Facsimile Transmission (2 pages)  
Amendment After Final (12 pages)

From: Scott A. Stinebruner  
Reg. No. 38,323

Re: U.S. Patent Application  
Serial No. 09/697,398  
Filed: October 27, 2000  
Applicant: Robert Miller et al.  
Art Unit: 2155  
Confirmation No.: 2827  
Our Ref: IBM/164

Pages: 15 (including cover sheet)

MESSAGE/COMMENTS  
OFFICIAL

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence and the enclosures noted herein (15 total pages) are being transmitted via facsimile transmission to Examiner Thu Ha T. Nguyen, Mail Stop AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22213-1450 at 703-872-9306 on June 3, 2004.

Judith L. Volk  
Judith L. Volk

June 3, 2004  
Date

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**PATENT**Att'y Docket No. IBM/164/124  
Confirmation No. 2827**CERTIFICATE OF FACSIMILE TRANSMISSION**

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*Judith L. Volk*  
Judith L. Volk

*June 3, 2004*  
Date

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Robert Miller et al. Art Unit: 2155  
Serial No.: 09/697,398 Examiner: Thu Ha T. Nguyen  
Filed : October 27, 2000  
For : GROUP DATA SHARING DURING MEMBERSHIP CHANGE IN  
CLUSTERED COMPUTER SYSTEM

Mail Stop AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT TRANSMITTAL**

1. ☒ Transmitted herewith is an Amendment After Final.
2. ☐ Small Entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.  
☐ Enclosed is a verified statement to establish Small Entity status  
☒ Other than a Small Entity
3. The fee has been calculated as shown below:

**CALCULATION OF FEES**

Fee:	Number of Claims After Amendment:		Previously Paid For:	No. Extra:	At Rate:	Amount:
Total Claims	22	minus	24	0	\$18	\$0.00
Independent Claims	8	minus	9	0	\$86	\$0.00
MULTIPLE DEPENDENT CLAIM FEE					\$290	\$0.00
TOTAL FEE FOR CLAIMS:						\$0.00

- ☒ No additional fee for claims is required.

Page 1 of 2  
Serial No. 09/697,398  
Transmittal for Amendment After Final of June 3, 2004  
IBM Docket: ROC920000129US1  
WH&E IBM/164

4. ☐ Attached is a check in the sum of \$\_\_\_\_\_ for additional claims.  
☐ Please charge my Deposit Account No. 23-3000 in the amount of \$\_\_\_\_\_.

5. **The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply. Complete (a) or (b) as applicable.**

- ☐ (a) Applicant petitions for an extension of time under 37 CFR 1.136 for the total number of months checked below:

	<u>Ext. Mos.</u>	<u>Large entity</u>	<u>Small entity</u>
<input type="checkbox"/>	one month	\$ 110.00	\$ 55.00
<input type="checkbox"/>	two months	\$ 420.00	\$ 210.00
<input type="checkbox"/>	three months	\$ 950.00	\$ 475.00
<input type="checkbox"/>	four months	\$1,480.00	\$ 740.00
<input type="checkbox"/>	five months	\$2,010.00	\$1,005.00

Extension fee due with this request:

\$

Method of Payment:

Check enclosed in the amount of \$

If an additional extension of time is required, please consider this a petition therefor.

(Check and complete the next item, if applicable)

- ☐ An extension for \_\_\_\_ months has already been secured and the fee paid thereof of \$\_\_\_\_ is deducted from the total fee due for the total months of extension now requested. Extension fee due with this request \$\_\_\_\_.

**OR**

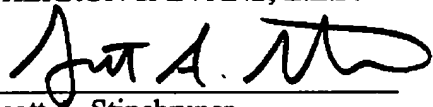
- ☒ (b) Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

6. ☒ If any additional fee for claims or extension of time is required, charge Account No. 23-3000.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

By:

  
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**OFFICIAL**IBM/164  
Confirmation No. 2827**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Robert Miller et al.

Art Unit: 2155

Serial No.: 09/697,398

Examiner: Thu Ha T. Nguyen

Filed: October 27, 2000

Atty. Docket No.: IBM/164

For: GROUP DATA SHARING DURING MEMBERSHIP CHANGE IN  
CLUSTERED COMPUTER SYSTEM

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**AMENDMENT AFTER FINAL**Mail Stop AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In reply to the final Office Action dated May 7, 2004, please amend the above-identified application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks** begin on page 11 of this paper.

Page 1 of 12  
Serial No. 09/697,398  
Amendment After Final dated June 3, 2004  
Reply to Office Action of May 7, 2004  
IBM Docket ROC920000129US1  
WH&E IBM/164  
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